

Silver nanoparticles as optical sensors

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1. Introduction

The use of silver nanoparticles (AgNPs) as analytical and bioanalytical sensors is receiving significant attention. This relevance arises from its unusual optical, electronic, and chemical properties (Schultz et al., 2000; Taton et al., 2000; Yguerabide & Yguerabide, 1998). The optical excitation of the surface plasmon resonance (SPR) caused by a collective excitation of the conduction band electrons of the nanoparticle, produces an absorption with large molar extinction coefficients and relevant scattering, usually when the particle size is larger than a few tens of nanometers (Haes et al., 2004). Colloid suspensions display brilliant colors as a result of intense light absorption and scattering, a fact first recognized by Faraday (Faraday & Philos, 1857), more than a century ago.

SPR is associated with the coupled oscillation of free electrons on the conduction band accompanying enhanced local electromagnetic field, which is intensely sensitive to surrounding medium conditions. When a nanoparticle is exposed to an electromagnetic wave, the electrons in the particle oscillate at the same frequency as the incident wave. Spectral characteristics of silver nanoparticles are strongly dependent on their size, shape, interparticle spacing and environment (b-Rao et al., 2002). Therefore, the geometry of noble metal nanoparticles would provide important control over linear and nonlinear optical properties (Bruzzone et al., 2005; b-Jiang et al., 2005; Roll et al., 2003).

Optical scattering has shown to be useful in imaging methods to detect biosystems and has been applied to the diagnostics of cancer cells (Hirsch et al., 2003; El-Sayed et al., 2005).

Other potentialities are related to single-nanoparticle use as chemical and biological sensors (Cognet et al., 2003; McFarland & Van Duyne, 2003); changes in the plasmonic resonance wavelength of maximum absorption or scattering are monitored as a function of changing the chemical and physical environment of the surface of the nanoparticles. The spectral response, SPR or scattering band, must be very sensitive to the changes in the refractive index of the surroundings. Many theoretical and experimental studies (Kelly et al., 2003; Gole & Murphy, 2004) have been made on metal nanoparticles with different geometries with the purpose to find the best nanoparticle configuration and enhance the sensitivity of the plasmon resonance response.

2. Synthesis processes

During the last decades, many synthesis methods have been reported for the preparation of AgNPs.

The processing of nanosized silver particles can be briefly classified as:

- Chemical reduction of silver ions (Fig.1) (Toshima et al., 1993; Liz-Marzan & Philipse, 1995; Rivas et al., 2001; Pyatenko et al., 2005).
- Thermal decomposition in organic solvents (Esumi et al., 1990).
- Reversed micelle processes (Mafuné et al., 2000).
- Photoreduction (b-Zhou et al., 2001).
- Ultrasonic irradiation (Xiong et al., 2002), ^{60}Co - γ -irradiation (Henglein & Giersig, 1999) and microwave irradiation (Pastoriza-Santos & Liz-Marzan, 2002).

No doubt, the main synthetic way is based on the dispersion and condensation processes. Among these methods, AgNPs with spherical, nanowire or nanoprism shapes with tunable sizes have been manufactured (Balan et al., 2007). In most cases, only a low concentration of silver colloids (several millimoles per liter or less) in the presence of suitable stabilizers has been obtained.



Fig. 1. AgNPs obtained by chemical reduction.

Experimental conditions as reagent concentrations, time and temperature of heating, cleanliness of glassware must be carefully controlled to achieve stable and reproducible colloids. The obtained products tend to form agglomerates and/or change the size or shape during the storage. As AgNPs are fairly unstable in solution (Luo & Sun, 2007), the derivatization step is necessary to obtain monodispersed nanoparticles.

The most common strategy for the formation of stable nanoparticles in liquid is the use of a protective agent, which not only prevents their aggregation, but also results in functionalized particles (a-Rao et al., 2000). The choice of the capping agent is very critical because it determines the stability, solubility, reactivity, and even the size and shape of the nanoparticles during the synthesis. However, the stabilizers such as surfactants and ionic polymers cannot be easily removed from the surfaces of the silver colloids, which unavoidably affect the physicochemical properties of the resulting nanoparticles. Therefore, large-scale synthesis of nanosized silver particles using removable reductants and stabilizers is worthy of investigation.

3. Characterization of Silver Nanoparticles

According to Mie theory (Petit et al, 1993), AgNPs spectra increase in intensity with the increase of size of NPs and decrease of broad of spectral band. Mock (Mock et al., 2002) studied absorption spectra of AgNPs with different morphologies, related with size, shape and wavelength of absorption using TEM; the results made evident that the more assymmetric and greater size was the particle, the more bathochromic shift was shown.

Taking into account experimental dates, characterization of the nanoparticles to examine size, shape, and quantity is very important. A number of different measurement techniques can be used for this purpose; UV-visible spectroscopy is a valuable tool for study and structura, associated to scanning and transmission electronic microscopy (TEM), Atomic Force Microscopy (AFM), Scanning Electron Microscopy (SEM) and Dynamic Light Scattering (DLS). These techniques provide the image of a small piece of the sample, and this means that they give information about local properties by characterizing a few nanoparticles at a time (Sosa & Noguez, 2003).

The applications of absorption spectroscopy are the most extensive. However, for examination of nanoparticles, the optical properties require an individually developed theory. Measured absorbance spectrum does not necessarily show the actual absorbance but the extinction of the light. The extinction is both the absorbed and the scattered light from the particles.

SEM is a valuable instrument, when high-resolution images of the surface is desired. The microscope measures the electrons scattered from the sample. Electrons are accelerated by an electric potential varying wavelength at shorter than the one of photons. SEM is capable of magnifying images up to 200.000 times achieving high resolution pictures of the surface and determining the size distribution of nanoparticles.

AFM is an instrument capable of measuring the topography of a given sample. A nanosized tip attached on a cantilever is traced over the sample and a 3D image of the sample topography is generated on a computer.

4. Analytical and bioanalytical sensors

4.1 Colorimetric assays

Colorimetric assays based on the unique surface plasmon resonance properties of metallic nanoparticles have showed to be very useful in the analysis of biomolecular and metal ions; they have received considerable attention due to their simplicity, high sensitivity, low cost, rapidity and they do not need the use of complicated apparatus.

As colorimetric assays, AgNPs have some advantages over gold NPs to a certain degree since they possess higher extinction coefficients relative to gold NPs of the same size. However, little attention has been paid to AgNPs based colorimetric assays, though sensitive and selective colorimetric detection has been reported using AgNPs functionalized with appropriate ligands. These limitations can be attributed to the following facts:

- Functionalization of AgNPs usually cause chemical degradation.
- AgNPs' surface could be easily oxidized.

Colorimetric analytical method using citrate-capped AgNPs has been developed and applied to berberine detection (b-Ling et al., 2008), an important anti-inflammatory drug for heart and intestinal disorders. Citrate-capped AgNPs with negatively charged surface can be symmetrically dispersed in water by the electrostatic repulse interaction of each particle.

However, the presence of positive berberine would induce the aggregation of AgNPs, causing the color change of AgNPs suspension from yellow to green, and then to blue depending on the aggregation degree of AgNPs. The mechanism of color change and the effect of experimental conditions were studied using absorption and light scattering spectrometry. Under the optimum conditions, berberine hydrochloride can be detected from 0.05 μM to 0.4 μM visually based on the color alteration of the solution ($\text{LOD} = 1.3 \cdot 10^{-8} \text{ mol L}^{-1}$). This colorimetric analytical method without use of expensive machines is so convenient, economy and speedy that it has flourishing prospects in analytical chemistry.

A new method for the synthesis of AgNPs based on the incomplete reduction of silver ions by UV light in presence of poly(methacrylic acid) (PMA) has been presented (a-Dubas & Pimpan, 2008). The low power of the UV lamp provides a very slow kinetic of reaction which allows the preparation of purple solution of AgNPs.

UV-vis spectroscopy was used to monitor the kinetic of nanoparticles synthesis; their size and morphology were confirmed by transmission electron microscopy (TEM). The nanoparticles solution displays an absorbance maximum at 515 nm instead of the usually expected at 400 nm for spherical AgNPs. The unreacted silver is thought to be responsible for the color shift by forming an Ag^+/COO^- complex with the PMA stabilizing polyelectrolyte. The resulting solution displays a purple color which can be changed to yellow upon addition of ammonia; there are also medical interests in measuring this analyte in the body as indicator of disorder or disease. Based on this mechanism, an ammonia sensor has been proposed, showing a linear response in the range of 5–100 ppm.

A selective and sensitive method is proposed for detecting cysteine based on the color change of triangular silver nanoprisms at pH 5.5 incubated for 3 min in boiling water bath (Wu et al., 2009). Only cysteine got a color change among all the 20 protein, including alanine, arginine, asparagines, aspartic acid, glutamine, glycine, histidine, isoleucine, leucine, lysine, methionine, phenylalanine, proline, serine, threonine, tryptophan, tyrosine and valine, forming amino acids. With increasing cysteine concentration, blue shift of the dipole plasmon resonance peak was obtained. With the increase of cysteine, the color sequentially changed from blue to bright blue, amaranth, salmon pink and orange. It was found that the blue shift of wavelength is in proportion to the logarithm of concentration of cysteine ($\log C_{\text{cysteine}}$), fitting the equation of $\lambda_{\text{max}} = 471.3 - 93.1 \log C_{\text{cysteine}}$ with correlation coefficient 0.9964. Considering that the color of the AgNPs is related to the size, shape, electron density on the colloidal surfaces and the refractive index of the surrounding medium, SEM images of the reaction between triangular silver nanoprisms and cysteine was measured; shape will transform to disk, so the method may serve as a new technique to control the size and shape of nanoparticles.

A sensitive, selective, simple and label-free colorimetric assay using unmodified AgNPs probes has been developed to detect enzymatic reactions (Wei et al., 2008). Enzymatic reactions concerning dephosphorylation and phosphorylation, specifically adenosine triphosphate (ATP) dephosphorylation by calf intestine alkaline phosphatase (CIAP) and peptide phosphorylation by protein kinase A (PKA), were chosen as model systems due to their significant importance to cellular regulation, cellular signaling, and biomedical applications. In the presented method, dephosphorylation and phosphorylation could be

readily detected by the color change of AgNPs, with a detection limit of 1 unit mL⁻¹ for CIAP and a detection limit of 0.022 unit mL⁻¹ for PKA. This work is an important step toward a colorimetric assay using AgNPs and is a promise for enzyme assay in complex systems and for screening of different enzymes inhibitors in future.

Thompson (Thompson et al., 2008) has proposed the synthesis of oligonucleotide-silver nanoparticle (OSN) conjugates and demonstrated their use in a sandwich assay format. The OSN conjugates have practically identical properties to their gold analogues and due to their vastly greater extinction coefficient both visual and absorption analyses can occur at much lower concentrations.

A new one-pot protocol has been proposed for highly stable AgNPs modified with p-sulfonatocalix[n]arene (n = 4, 8) (Xiong & Lil, 2008). The obtained NPs were characterized by transmission electron microscopy, FT-IR and UV-vis spectroscopy. p-sulfonatocalix[4]arene modified AgNPs can be utilized as a novel colorimetric probe for optunal, allowing a rapid quantitative assay of optunal down to a concentration of 10⁻⁷ mol L⁻¹, showing a great potential for application to real-time in situ detection of optunal and the possible mechanism is discussed.

AgNPs have been synthesized by reduction of silver nitrate in the presence of humic acids (HA) which acted as capping agents (b-Dubas & Pimpan, 2008). In presence of sulfurazon-ethyl herbicide (0, 100, 200, 300, 400, 500 ppm), a variation in color of the nanoparticles solution from yellow to purple is produced. The effect of the humic acid concentration used in the nanoparticles synthesis was studied by varying the [Ag⁺:HA] ratio content from [1:1] to [1:100]. UV-vis spectroscopy was used to monitor the extinction spectra of AgNPs after the synthesis and in the herbicide sensing experiments. An average AgNPs size of 5 nm was confirmed by transmission electron microscope (TEM).

A novel beta-cyclodextrin-4,4'-dipyridine supramolecular inclusion complex-modified AgNPs has been synthesized for the colorimetric determination of Yb³⁺ ions in aqueous solution with high sensitivity (LOD 2 10⁻⁷ mol L⁻¹) (a-Han et al., 2009).

Cysteine modified AgNPs were prepared in aqueous solution, via one-pot protocol, and characterized by transmission electron microscopy (TEM), Fourier transform infrared (FT-IR) and UV-vis spectroscopy (a-Li & Bian, 2009). The nanoparticles provided a simple and rapid strategy to detect visually histidine (His) using Hg²⁺ solution (Fig. 2). The colorimetric sensor allows a rapidly quantitative assay of histidine down to the concentration of 3 10⁻⁵ mol L⁻¹.

A new spectrophotometric method for the determination of arsenic at a mg g⁻¹ level has been developed, based on the formation of AgNPs in anionic micellar medium and consecutive reduction of Ag(I) by arsine (AsH₃) generated from arsenic present in water (Pal & Maji, 2005). The magnitude of absorbance of yellow-coloured silver sol measured at λ_{\max} = 395 nm was proportional to arsenic concentration in the sample with linear dynamic range of 0 to 0.5 mg g⁻¹ (R² = 0.998). Molar absorptivity equalled 4.98 10⁻³ L mol⁻¹ cm⁻¹, and Sandell's sensitivity was 1.50 10⁻² µg cm⁻². The proposed method is simple and provides

reproducible results with standard deviations within $\pm 5\%$, free of interferences for Fe^{2+} / Fe^{3+} , Ca^{2+} , Mg^{2+} , Sb(III) , Se(IV) , PO_4^{3-} , SiO_3^- , NO_3^- , Cl^- , SO_4^- , humic acid, pesticides/herbicides (such as 2,4-D, endosulfan, atrazine), etc.

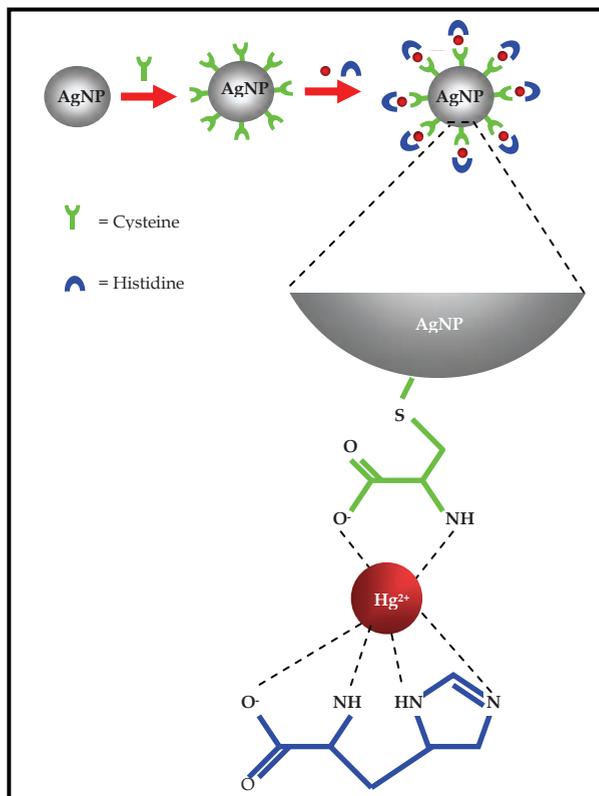


Fig. 2. Schematic representation of colorimetric sensor for histidine determination.

4.2 Spectrofluorimetric Analysis

Fluorescence detection is emerging as a powerful tool with wide applications in life sciences. This methodology allows in situ studies including binding of ligands such as a drug or hormone to a receptor, measurement of concentration of metabolites, study of structure, orientation, fluidity and distances between molecules. Yet in practical situations, where complex samples of biological origin must be used, such as in proteomics or clinical medicine, the presence of interfering background makes fluorescence detection more difficult and the need arises for the fluorescence signals to be amplified. Fortunately a physical nanoscale phenomenon exists which enables such amplification (a-Liu et al., 2006).

A good strategy to achieve improved sensitivity is the application of metal nanostructures in experimental protocols; these materials generally ensure high and/or spatially homogenous

(a-Olenin et al., 2008; b-Olenin et al., 2009), in which silver nitrate was reduced with sodium borohydrate in an aqueous solution followed by their transfer into the organic phase using CTAB as a phase transfer catalyst. When small amounts (few μL) of the chemically modified AgNPs sol were added to a dilute pyrene solution, the violet fluorescence intensity of pyrene decreased proportionally to the concentration of the nanoparticles added, and fluorescence with $\lambda_{\text{max}} = 440$ and 470 nm typical for pyrene excimers was observed in the long-wavelength region. The chemically modified AgNPs can be used as an adsorbent for preconcentrating pyrene from dilute n-hexane solutions followed by the direct luminescence determination, at room temperature, in the adsorbent matrix at concentrations below 0.01g mL^{-1} in test solutions.

Shang et al. (Shang et al., 2009) developed a sensitive fluorescent method for detecting cyanide based on the inner filter effect (IFE) of AgNPs (Fig. 3). The method proposes to use the powerful absorption of AgNPs to produce a tune in the emission and excitation of an isolated fluorescence indicator, which occurs in the IFE-based fluorescent assays. In the presence of cyanide, the absorber AgNPs dissolves gradually and leads then to recovery the IFE-decreased emission of the fluorophore. The method can detect cyanide with good selectivity over other common anions and ranging from $5.0 \cdot 10^{-7}$ to $6.0 \cdot 10^{-4}$ mol L^{-1} with a detection limit of $2.5 \cdot 10^{-7}$ mol L^{-1} . It compares favorably with other reported fluorescent methods and has further application in cyanide-spiked water samples with a recovery between 98.2 and 101.4%.

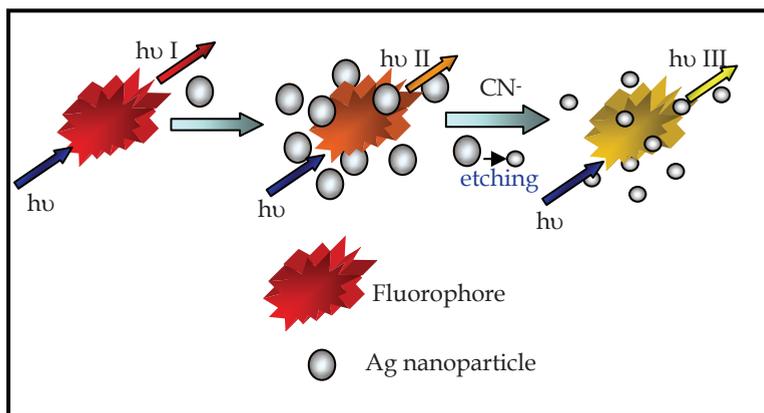


Fig. 3. Schematic representation of AgNPs-cyanide determination by IFE.

A sensitive and selective fluorimetric sensor for the assay of ascorbic acid using AgNPs as emission reagent was proposed by Park et al. (Park et al., 2009). AgNPs were prepared by reaction of silver nitrate solution and ammonia gas in aqueous-gaseous phase. AgNPs were used as a fluorescence probe for the assay of ascorbic acid using its quenching effect on the emission of AgNPs. They proposed that this effect was due to the complexation effect between ascorbic acid and AgNPs and established the quenching mechanism by Stern-Volmer law. The quenched fluorescence intensity was linear with the concentration of ascorbic acid in the range of $4.1 \cdot 10^{-6}$ to $1.0 \cdot 10^{-4}$ mol L^{-1} ($r = 0.9985$) with a detection limit of $1.0 \cdot 10^{-7}$ mol L^{-1} .

The RSD for repeatability of the sensor for the assay of ascorbic acid concentration of 3.0×10^{-5} and 4.0×10^{-6} mol L⁻¹ was found to be 1.5 and 1.3%, respectively. The method was applied to the determination of ascorbic acid in vegetables and vitamin C tablets.

4.3 Methodologies based on scattering phenomena

Investigations on light-scattering properties of nanomaterials and their application in analysis have been going on for more than 10 years (a-Ling et al., 2009). Yguerabide and Yguerabide (Yguerabide & Yguerabide, 1998) anticipated the potential applications of these particles in biochemical assay and cell biology. Under appropriate light, AgNPs of 40 nm in diameter scatter blue light. These particles could potentially be used in biochemical assay and cell imaging as fluorescent analogs. The light-scattering properties of new nanomaterials (van Dijk et al., 2006; a-Pan et al., 2007) in different composition, size and shape have greatly attracted the attention of analysts, so the applications of nanomaterials for analytical purposes have grown dramatically. This topic constitutes an attractive approach which is in incipient development because of the unique advantages of NPs of colorful light-scattering properties, comparable to optical probes with various fluorescent dyes.

AgNPs open exciting new ways to create efficient optical probes based on the strongly enhanced spectroscopic signals that can occur in their local optical fields. One of the most impressive effects associated with local optical fields is surface-enhanced Raman scattering (SERS) (Otto, 1984; Moskovits, 1985; Campion & Kambhampati, 1998; b-Kneipp et al., 1999). This technique is a form of Raman spectroscopy based on the interaction of the incident light with a molecule adsorbed onto the roughened metal surface. Derived from Raman spectroscopy, there are other interesting techniques employing AgNPs as substrate, such as surface-enhanced resonance Raman scattering (SERRS), surface-enhanced Raman optical activity (SEROA) and tip-enhanced Raman spectroscopy (TERS) (Pettinger et al., 2002; a-Kneipp et al., 2006).

Similar to IR, Raman spectroscopy (RS) yields detailed information about molecular vibrations. These kind of molecular motions are very sensitive to strength and types of chemical bonds. Therefore vibrational spectroscopy techniques are useful not only in identifying molecules but also reflect changes in the surrounding of the molecules and are thus, helpful in studying intra- and inter molecular interactions.

While infrared spectroscopy is based on absorption, reflection and emission of light, RS is based on inelastic scattering of radiation usually in the visible or near-infrared region by a solid, liquid or gaseous sample. In this context, scattering occurs due to collisions between photons and molecules (Fig. 4).

Irradiation of light with the frequency ν_0 upon a certain molecule transfers a number of photons with the energy $E = h\nu_0$ (Fig. 5). For instance, laser light having a wavelength of 500 nm and an optical output of 1W emits approximately 2.5×10^{18} photons per second. These photons include photons colliding with molecules as well as those that pass without interacting with molecules. When carbon tetrachloride, which is a transparent liquid, is irradiated, it was found that about 10^{13} through 10^{15} photons collide with a molecule and change their directions among a total of 2.5×10^{18} photons. Most photons colliding with molecules do not change their energy after the collision (elastic collision) and the ensuing radiation is called Rayleigh scattering. Therefore, Rayleigh scattering consists of scattered photons which have the same frequency as the incident light, discussed in further section.

Only a very small number of the photons that impact on the molecule, exchanges energy with them during the course of the collision (inelastic collision). Scattering phenomenon in which incident photons exchange energy with a molecule is known as RS. Depending on frequency having the scattered light after colliding with molecule it could be Stokes RS or anti-Stokes RS. If an incident photon delivers a quantum ($h\nu$) of energy to the molecule, and the energy of the scattered photon is reduced to $h(\nu_0 - \nu)$, the scattering phenomenon is known as Stokes RS. Otherwise, when an incident photon receives the $h\nu$ energy from the molecule, the energy of the scattering photon rises to $h(\nu_0 + \nu)$, it is known as anti-Stokes RS.

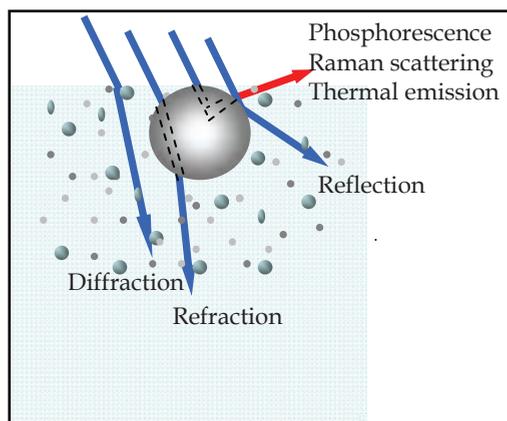


Fig. 4 Optical phenomena in the interphase air/aqueous AgNPs solution.

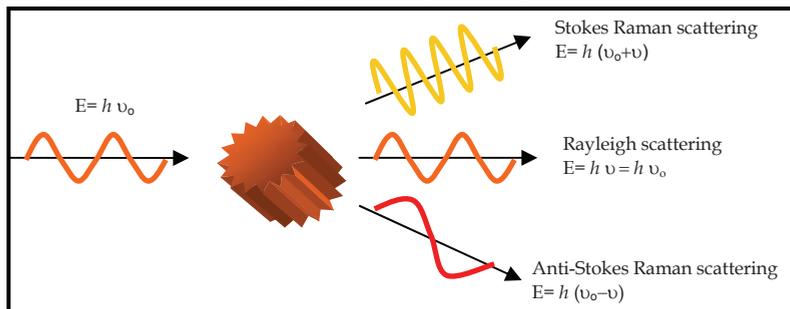


Fig. 5. Scattering phenomena.

The change in wavelength that is observed when a photon undergoes RS is attributed to the excitation (or relaxation) of vibrational modes of a molecule. Because of the diverse functional groups have different characteristic vibrational energies; every molecule has a unique Raman spectrum. Therefore, RS is a vibrational spectroscopic technique complementary to IR spectroscopy, but excitation and emission involve higher-energy photon similar to those used in electronic absorption and fluorescence spectroscopy.

It should be stressed that the basic feature of RS is scattering, which can occur at any wavelength. There is a change in the direction of the light, but no photon annihilation takes place as in an electronic absorption or fluorescence process. The selection rules are very

different from those in IR: Raman signals are associated with vibrations that cause a change in polarizability. Even so, RS cross sections are typically 14 orders of magnitude smaller than those of fluorescence; therefore, the Raman signal is several orders of magnitude weaker than the fluorescence emission in most cases. In fact, many materials are not readily suited to conventional RS. These include dyes that fluoresce in the same spectral range as their Raman emission, so that the useful Raman spectrum is masked overwhelmingly.

Due to the inherently small intensity of the Raman signals, the limited sensitivity of available detectors, the intensity of the excitation sources, and the potential interferences from the substances which fluoresce, the applicability of RS has been restricted for many years. However, its utility as an analytical technique improved with the advent of the laser and the evolution of photon detection technology. Another approaching of the technique is the selection of excitation wavelength close to an electronic absorption band of the chromophore. When the overlapping of molecular absorption band with the laser wavelength occurs, the intensity of the normal Raman scattering can be enhanced by several orders of magnitude. This is known as Resonance Raman Scattering (RRS); while smaller is the frequency difference between laser and electronic transition, stronger is the RRS intensity. Since the unique expected resonance effects, are those produced when the laser line coincides with an electronic absorption, RRS combines sensitivity and selectivity. In principle, it enables one to selectively observe a chromophoric solute in a dilute solution or study a particular Raman scatterer in a complex matrix.

4.3.1 Surface-enhanced Raman Scattering

In 1977, Jeanmaire and Van Duyne demonstrated that the magnitude of the RS signal can be greatly enhanced when the scatterer is placed on or near a roughened noble-metal substrate (Jeanmaire & Van Duyne, 1977). This enhanced scattering process is known as surface-enhanced Raman (SER) scattering, a term that emphasizes the key role of the noble metal substrate in this phenomenon. A number of different metals such as silver, gold, copper and aluminium can be used to provide the optically active surface (Campion & Kambhampati, 1998; b-Kneipp et al., 1999); however, silver tends to give the largest enhancement factors using visible excitation wavelengths. Even though the exact mechanism of the enhancement effect of SERS is still a matter of debate in the literature, there are two primary theories which explain the phenomenon.

The first one uses plasma resonance model related to the optical properties of free-electron-like metals. Known as electromagnetic theory, it relies upon the excitation of localized surface plasmons (LSP) on nanoscale roughness features of metal substrate when it is irradiated by light. The excited LSP provides electromagnetic fields in order that when the Raman scatterer is subjected to these fields, the magnitude of the induced dipole increases. Taking account that the selection rules of Raman effect for a molecule are determined by its polarizability, accordingly, the intensity of the inelastic scattering increases (Raman scattering) when its polarizability increases.

The field enhancement becomes greatest when the plasmons frequency (ω_p) is in resonance with the radiation. In this instance, the LSPR (localized surface plasmons resonance) provides strong electromagnetic fields which leads to great enhanced Raman scattering from those molecules close to the metal surface. Although it is difficult to determine the extent of the enhanced fields from the nanostructured surface, theoretical calculations for well-characterized nanoparticles suggest that these fields degrade exponentially with a

characteristic decay length of ~ 2 nm (Schatz & Van Duyne, 2002). The three major strategies for confining a SERS analyte within the electromagnetic fields are physisorption, chemisorption, and partitioning via a self-assembled monolayer (Fig. 6).

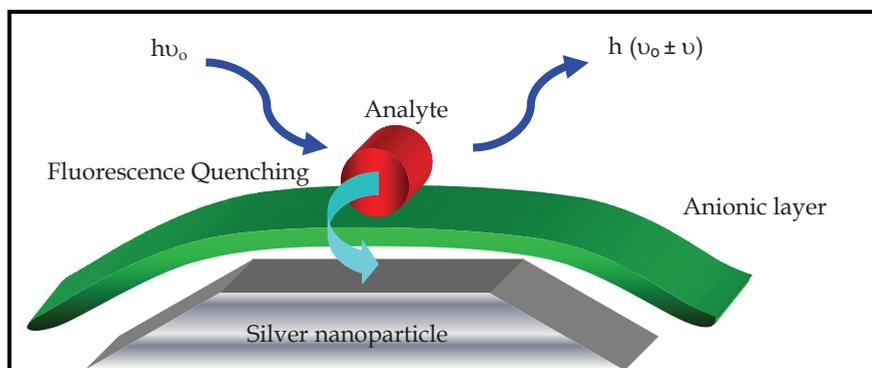


Fig. 6. SERS phenomena.

While the electromagnetic theory of enhancement can be applied regardless of the molecule studied, it does not fully explain the magnitude of the enhancement observed in many systems. Therefore, a second approach is based on the concept of the “active sites” at the metal surface. This model is known as chemical theory, due to the fact that for many molecules, often those with lone pair of electrons, with which the molecules can bond to the surface, a distinctly different mechanism of enhancement has been described, which does not involve surface plasmons. Through the formation of charge-transfer complexes between the metal and the molecules occupying these active sites induces enhanced Raman scattering effect.

Explanation using molecular orbital theory, the HOMO (Highest occupied molecular orbital) to LUMO (Lowest unoccupied molecular orbital) transition for many molecules requires much more energy than the infrared or visible light which is typically involved in Raman experiments. When the HOMO and LUMO of the adsorbate fall symmetrically about the Fermi level (chemical potential) of the metal surface, light of half energy can be employed to make the transition. This phenomenon takes place because the metal acts as a charge-transfer intermediate. Thus, a spectroscopic transition that might normally take place in the UV can be excited by visible light. However, chemical theory only applies for species which have formed a bond with the surface, so it clearly cannot explain the observed signal enhancement in all cases, while the electromagnetic theory can be applied even for molecules physisorbed at a distance of more than 10 \AA from the surface (Campion & Kambhampati, 1998).

The SERS technique has many advantages: because of it is a vibrational spectroscopy technique, a SER spectrum provides much more information about molecular structure and the local environment than an electronic spectroscopy technique, such as fluorescence. As the SERS analyte must be on or near a noble metal, which provides nonradiative pathways for the decay of excited states, fluorescence interference is strongly quenched. This becomes a solution for analysis of many fluorescent chromophores which were not possible to be analyzed by traditional Raman spectroscopy. Also, minor changes in the orientation of an

adsorbate can be discerned, because slight variations yield measurable shifts in the locations of SERS spectral peaks.

Furthermore, the abrupt decay of the electromagnetic fields ensures that only adsorbed molecules on or near the noble-metal substrate (within 4 nm) are probed. This quality makes SERS an ideal tool for surface studies, trace analyses, or biomolecular interactions.

Another distinctive advantage is the fact that water exhibits extremely weak SERS signals and AgNPs substrates are compatible to aqueous-phase. This makes the SERS technique employing AgNPs well suited for analysis performed on molecules in aqueous media. Therefore, for *in vivo* and *in vitro* biological studies it is found an increasingly interest as bioanalytical and bioimaging tool.

One of the disadvantages on SERS is the fact that not all analytes are good Raman scatterer. Therefore, this technique encounters an important limitation due to the great number of compound which gives a poor Raman signal. However, this hitch is resolved easily by employing SERRS as an alternative surface-enhanced Raman scattering technique.

To achieve SERRS, a laser excitation frequency is chosen to coincide with the absorption frequency of the chromophore (electronic absorption band). The molecular resonance is given as result of the wavelength overlapping between molecular electronic absorption band and the laser frequency, giving RRS phenomenon. This combination of RRS with resonance surface-enhanced phenomenon provides to SERRS the extreme sensitivity. A number of chromophores, which possess high Raman cross sections, can be attached to analytes with poor Raman signal. The formed complex is then adsorbed onto a suitably roughened metal surface and a laser source is chosen to create the respective resonances, giving a spectral enhancement with minimum background. Accordingly, this technique can be applied for analytes which in otherwise, cannot be determined by RS or SERS. Compared to SERS, SERRS appears to operate more effectively at low concentrations. However, SERS is more molecularly specific and nearly as effective at enhancing the scattering process at higher concentrations.

Total SERS/SERRS enhancement factors can reach 14 orders of magnitude, which produce nonresonant/resonant surface-enhanced Raman signals to a level comparably to or even better than fluorescence.

Unlike fluorescence, which produces relatively broad bands, Raman scattering as a vibrational, i.e., structure-specific method yields a unique spectrum composed of several narrow spectral lines, resulting in well-distinguishable spectra even for similar molecules. This led to the development of SEROA, a novel method based on Raman optical activity (ROA) combined to surface-enhanced phenomenon for the analysis of enantiomers. ROA effect provides important information on molecular structure on the basis of differences in Raman spectra generated by left and right circularly polarized light. ROA is particularly sensitive to chirality, a molecular property that can be used to characterize polymeric assemblies as well as small molecules. Hence, the secondary structures of proteins and polyribonucleotides and also their building blocks can be discriminated. This includes individual amino acids, nucleosides, and many other biosynthetic precursors and small molecules of biological relevance which are optically active. Therefore, SEROA provide high spectral specificity, multiplex capabilities, and photostability for enantiomers determinations (Cao et al., 2002; Docherty et al., 2004).

Recently, SERS employing AgNPs as substrate to provide active optical surface has been used extensively as a signal transduction mechanism in biological and chemical sensing. Examples are cited in Table 1 and 2.

Methodology description	Analyte(s)	Analytical performance	References
Label-free protein determination	Lysozyme Catalase	LOD 5 $\mu\text{g mL}^{-1}$ 0.05 $\mu\text{g mL}^{-1}$	c-Han et al., 2009
Colloidal silver staining for Western Blot label-free protein determination	Bovine serum albumin	LOD 2 ng/band	d-Han et al., 2008
Intracellular pH sensor	H ⁺ (range 6-8)	---	Talley et al., 2004
Multiplex immunoassay for cellular proteins using fluorescent-SERS dots by fluorescence bright field imagine	CD34, Sca-1, and SP-C	Identification	Woo et al., 2009
Specific virus DNA sequence determination	Human immunodeficiency virus type 1.	Identification	Wabuye et al., 2005
In situ measurements for chemical analysis of biofilms	Multispecies biofilms; multiplex components	---	Ivleva et al., 2008
Kinetics monitoring endospore germination by Mirror sandwich SERS substrates	L-alanine; different temperatures	---	Jacquitta et al., 2006
Ratio-method using isotopically labeled internal standard	Creatinine	LOD 11.4 $\mu\text{g mL}^{-1}$	Stosch et al., 2005
bis-acridinium dication lucigenine as molecular assembler	Organochlorine pesticide endosulfan	LOD 20 $\mu\text{g L}^{-1}$	Guerrini et al., 2008
Ion-sorption on surfaces	Uranyl ion	LOD 20 ng mL ⁻¹	Bhandari D. et al., 2009
Heat-induced SERS sensing	Glutathione	LOD 50 nM	Huang et al., 2009
SERS microflow cell for study of pigment within cyanobacteria from samples of rock	Scytonemin pigment	LOD 2 nM	Wilson et al., 2007

Table 1. Analytical applications of SERS phenomenon.

For detecting some biomolecules, a protocol for adding an aggregation agent to induce strong SERS has been used (Fig. 7). At pH lower than the protein isoelectric point, all the target proteins carry net positive charges, so these proteins can be adsorb on silver surfaces by electrostatic interactions and other interactions already mentioned. Accordingly, multiple interaction sites of an individual protein may bridge two or more silver nanoparticles and induce the subsequent SERS.

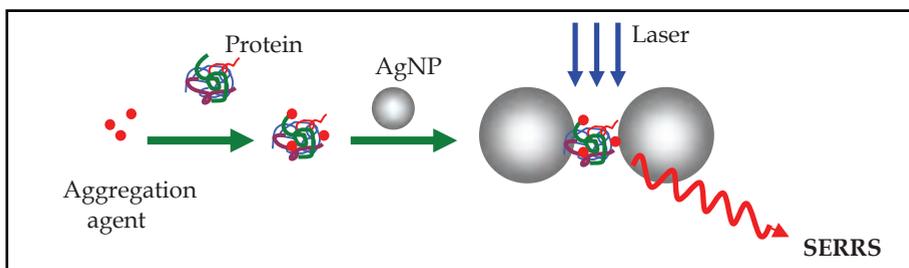


Fig. 7. SERS/SERRS protocol for biomolecule detection in presence of aggregation agent.

Moreover, immobilized silver colloidal nanoparticles have been used as a SERS substrate to perform microspectroscopic imaging. This technique was successfully applied for cancer cells imaging and other bio-molecules. As biosensor, is a highly sensitive tool for *in-vivo* study of many living system. The most critical aspect of performing a SERS experiment is the fabrication of the noble-metal substrates.

Methodology description	Analyte(s)	Analytical performance	References
Fluorescein isothiocyanate linked immunoabsorbent assay	Human IgG	LOD 0.2 ng mL ⁻¹	b-Han et al., 2008
Multiplex detection of DNA sequences	Methicillin-resistant <i>Staphylococcus aureus</i>	LOD 10 ⁻¹² mol mL ⁻¹	MacAskill et al., 2009
Colloidal silver Staining for Western Blot label-free protein determination	Myoglobin	LOD 4 ng/band	d-Han et al., 2008
Rhodamine-labeled oligonucleotide sequence in a bead-based lab-on-a-chip format	Nucleic acid sequences of <i>Chlamydia trachomatis</i>	LOD 20.6 nM	Monaghan et al., 2007
Overtones and combinations in single-molecule spectra	Perylenetetracarboxylic diimides	Single molecule level	Goulet et al., 2003

Detection and characterization of structurally similar monosaccharides	D-glucose	Identification by "fingerprints" with samples 5 μL (10^{-2} M) each	Mrozek & Weaver, 2002
	D-galactose		
	D-mannose		
	D-ribose		
	D-arabinose		
	D-xylose		
D-lyxose			

Table 2. Analytical applications of SERRS phenomenon.

Single-molecule SERS and the future

The early single-molecule detection with SERS was achieved by Nie and Kneipp groups independently at different experimental conditions. The Nie study included a correlated topographical and optical characterization of unaggregated AgNPs dosed with Rhodamine 6G (R6G) molecules. They hypothesized that only 1 of every 100–1000 nanoparticles is "optically hot" and that only 1 of every 10,000 surface sites on a "hot particle" has efficient enhancement (Nie et al., 1997). Accordingly, the single-molecule enhancement is 10^6 – 10^7 larger than the population-averaged enhancement.

Kneipp research group, on the other hand, probed small (100–150nm) silver colloid aggregates dosed with crystal violet molecules. The large single-molecule enhancement (10^{14}) is hypothetically attributed to large electromagnetic fields generated by fractal-pattern clusters of silver colloid nanoparticles (d-Kneipp et al., 1997).

Since these two pioneering experiments, SERS has been used to detect single molecules of biologically significant compounds, such as adenosine monophosphate (c-Kneipp et al., 1998) and hemoglobin (Xu et al., 1999).

Although the entire SERS community is excited by the recent development of single-molecule SERS, a new controversy surrounds the huge enhancement factors. Current hypotheses regarding the single-molecule enhancement mechanism center on the concept that SERS substrates have a small number of "hot spots", which are thought to occur at the junctions between two nanoparticles. Correlated single molecule SERS and topographical studies have clearly demonstrated that single-molecule SERS occurs only in compact aggregates of nanoparticles where these junctions may exist (Michaels et al., 2000). The large electromagnetic fields at the junction act as an optical trap for the Raman-active molecule and yield large scattering signals as well as enhanced photochemistry. The single-molecule SER spectra fluctuate on the time scale of 1 s; this is a signature of the molecule moving in and out of the hot spot and changing its orientation. Theoretical modeling of the electromagnetic field between two nanoparticles separated by ≤ 1 nm reveals a surface junction excitation and the efficient interaction of the molecular wave function with the wave function of the excited metal surface (a-Jiang et al., 2003).

An encouraging method for generalizing SERS to a wide variety of substrates is the development of tip-enhanced Raman spectroscopy (TERS) (Pettinger et al., 2002). In this technique, the electromagnetic field enhancement is provided by the excitation of the LSPR of a scanning probe. This eliminates the need to use noble-metal substrates to observe SERS. The probe can be a scanning tunneling microscopy probe, a metal-coated atomic force microscopy probe, a tapered optical fiber with a nanoparticle or thin metal film at the tip, or any other nanoscale-sharpened metallic object. Because of the strongest field enhancement is

localized to a small volume very close to the apex of the probe, TERS can potentially provide chemical information at a spatial resolution below the diffraction limit. Theoretical modeling of TERS has suggested that enhancement factors of 10^7 and a spatial resolution of < 5 nm can be achieved (Richards et al., 2003).

4.3.2 Rayleigh light scattering (RLS)

Rayleigh (a-Rayleigh, 1871; b-Rayleigh, 1871), developed a theory of light scattering for small spherical particles which scatter but do not absorb light. Later, Mie (Mie, 1908), developed a more general theory of light scattering that applies to spherical particles of any size that can absorb as well as scatter light.

Rayleigh theory can be expressed as follow:

$$I = \frac{16\pi^4 a^6 n_{med}^4 I_0}{r^2 \lambda_0^4} \left| \frac{m^2 - 1}{m^2 + 2} \right|^2 \sin^2(\alpha) \quad (1)$$

where I_0 is the intensity of incident monochromatic light, a is the angle between the detection direction \mathbf{r} and the direction of polarization of the incident beam, n_{med} is the refractive index of the medium surrounding the particle, and m is the relative refractive index of the bulk particle material (refractive index of a macroscopic piece of the material of which the particle is composed divided by n_{med}) (Yguerabide & Yguerabide, 1998).

The wavelength of the scattered light is the same as that of the incident light beam. The scattered light intensity I depends on wavelength, and in general both m and n_{med} also depend on wavelength; r is the distance between the particle and the position where the scattered light is detected.

There are strong analogies between fluorescence and light scattering, but also there are differences that must be taken into consideration, namely only ones:

- Light produced by a scattering process has the same wavelength as the incident light; fluorescence occurs at higher wavelengths than excitation process and the fluorescence spectrum profile is independent of incident light wavelength.
- Light scattered by a small spherical particle is completely polarized; light emitted by a fluorescent solution is only partially polarized.
- The excited state lifetime for light scattering is usually less than 10^{-11} s whereas fluorescence lifetimes are usually in the range of 1 to 300 ns.
- Scattering intensity is not noticeably quenched; fluorescence intensity is sensitive to a variety of quenchers.

A simple and sensitive method for the determination of nucleic acids has been established (a-Zhou et al., 2009) based on the further enhancement effect of resonance light scattering of AgNPs-fsDNA by Al(III). The results for the determination of plasmid DNA in actual samples are satisfactory. The interaction mechanism investigation indicates that fsDNA and AgNPs combine with Al(III) through electrostatic attraction and adsorption bridging action to form AgNPs-Al(III)-DNA aggregations. This method is very simple, rapid and effective for determination of nucleic acids, which may be suggested for further uses in biology and nanoscience.

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