Near-Field Opto-Chemical Sensors

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1. Introduction

Nanotechnology and nanoscale materials are a new and exciting field of research. The inherently small size and unusual optical, magnetic, catalytic, and mechanical properties of nanoparticles not found in bulk materials permit the development of novel devices and applications previously unavailable. One of the earliest applications of nanotechnology that has been realized is the development of improved chemical and biological sensors. Remarkable progress has been made in the last years in the development of optical nanosensors and their utilization in life science applications.

This new technology demonstrates the breadth of analytical science and the impact that will be made in the coming years by implementing novel sensing principles as well as new measurement techniques where currently none are available.

What is exciting in sensor research and development today? This is a tough question. There are many significant innovations and inventions being made daily. Micro and nanotechnology, novel materials and smaller, smarter and more effective systems will play an important role in the future of sensors.

With the increasing interest in and practical use of nanotechnology, the application of nanosensors to different types of molecular measurements is expanding rapidly. Further development of delivery techniques and new sensing strategies to enable quantification of an increased number of analytes are required to facilitate the desired uptake of nanosensor technology by researchers in the biological and life sciences.

To fulfil the promise of ubiquitous sensor systems providing situational awareness at low cost, there must be a demonstrated benefit that is only gained through further miniaturization. For example, new nanowire-based materials that have unique sensing properties can provide higher sensitivity, greater selectivity and possibly improved stability at a lower cost and such improvements are necessary to the sensor future.

Nano-sensors can improve the world through diagnostics in medical applications; they can lead to improved health, safety and security for people; and improved environmental monitoring. The seed technologies are now being developed for a long-term vision that

includes intelligent systems that are self-monitoring, self-correcting and repairing, and self-modifying or morphing not unlike sentient beings.

On this line of argument, in last years, our interdisciplinary group has been involved in research activities focused on the development of novel opto-chemical nano-sensors employing near-field effects to enhance the overall performance of the final device.

In this chapter, thus, we report recent findings on new class of opto-chemical sensors whose excellent sensing performance are related to an enhancement effect of the optical near-field induced by semiconductive structures of tin dioxide (SnO_2) when their spatial dimensions are comparable to the employed radiation wavelength (λ).

The main objective is to investigate the possibility to concentrate the electro-magnetic field in precise localized spots, by means of metal oxide micro and nano-sized structures, to increase light matter interaction and provide innovative and valuable sensing mechanisms for next generation of fiber optic chemical and biological nano-sized sensors (Pisco et al., 2006; Buosciolo et al., 2006).

Due to the strong interdisciplinary nature of the problem, research activities have been carried out following an integrated approach where all the aspects (material selection, integration techniques and transducer development), have been simultaneously addressed and optimized.

Taking this line, interest was focused on issues like investigation of the surface morphology and of the near-field optical properties in relation to suitable processing and post-processing conditions; correlation of the surface layer morphology and the emerging near-field intensity distribution with the sensing performance [Consales et al., 2006b; Cusano et al., 2006). We found that sensitive layers with very rough morphologies inducing a significant perturbation of the optical near-field, exhibited surprisingly sensing performance for both water chemicals monitoring and against chemical pollutants in air environment, at room temperature (Cusano et al., 2006; Buosciolo et al., 2008b).

Similar effects of light manipulation have been observed, in recent years, only in noble metal nanostructures explained in terms of localized surface plasmons and in subwavelength hole arrays in both metal films and non metallic systems; in a recent convincing theoretical model (Lezec & Thio, 2004) relative to the last case, the transmission of light is modulated not by coupling to surface plasmons, but by interference of diffracted evanescent waves generated by subwavelength periodic features at the surface, leading to transmission enhancement as well as suppression.

In light of this argument, it is clear that the manipulation of light through semicondutive micro and nano sized structures opens new frontiers not only in sensing applications but have also vast potential to be applied in many fields ranging from high performance nanometer-scale photonic devices up to in-fiber micro systems.

Here, we review the technological steps carried out by our group for the demonstration of a novel sensing mechanism arising from near-field effects in confined domains constituted by particle layers of tin dioxide with size approaching the optical wavelength. To this aim, we have structured the present chapter as follows: sections 2 and 3 are focused on the properties and characteristics of tin dioxide as sensing layer for chemical transducers with particular emphasis on the state of the art on chemical sensors based on this type of semiconductor. Section 4 deals with the principle of operation of the proposed reflectometric opto-chemical sensors and with the electrostatic-spray pyrolysis method as valuable tool to deposit particle layers of tin dioxide on optical fiber substrates at wavelength scale. Section 5

reports the morphological and optical characterization of the so produced superstrates carried out by atomic force and scanning near-field optical microscopy, very useful to clearly outline the effects of processing parameters on particles size and distribution as wells on the optical near-field emerging from the overlays. Finally, in section 6 we present the sensing performances of fiber optic chemo-sensors incorporating tin dioxide particle layers in both air and liquid environments discussing the dependence of the sensing properties on film morphology and optical near-field.

2. Tin dioxide as sensing material

Metal oxides are widely used as sensitive materials for electrical gas sensors in environmental, security and industrial applications. The idea of using semiconductors as gas sensitive devices leads back to 1952 when Brattain and Bardeen first reported gas sensitive effects on germanium (Brattain & Bardeen, 1952). Later, Seiyama et al. found gas sensing effect on metal oxides (Seiyama et al., 1962).

The principle of operation of such class of sensors relies upon a change of electrical conductivity of the semiconductor material as a consequence of the gas adsorption.

Even if many chemo-physical coupled phenomena, such as surface and bulk chemical reactions and mass and energy diffusion, are involved in the operation of the semiconductor solid state conductivity sensors (Lundstrom, 1996), in general, the sensing principle is dominated by the variation of the electronic properties of wide-band-gap semiconductors such as SnO_2 and ZnO due to the gases adsorption that modifies the intrinsic electronic defect formation (Szklarski, 1989). The gas sensitivity of semiconductor materials is underlain by reversible effects resulting from chemisorption of molecules, formation of space charge areas, and variation of the concentration of the charge carriers in the subsurface layer.

Although the general principle of the detection mechanism is appreciated, the size of the change of electric conductivity (sensor signal) is largely determined by the structural type of the semiconductor, the nature and concentration of surface reactive centers, and the real structure of the material: the size, structure, and degree of agglomeration of crystallites, specific surface area, and pore geometry (Rumyantsevaa et al., 2008).

In principle, any semiconducting oxide can be exploited as a sensor by monitoring changes of its resistance during interaction with the detected gas molecules at an operating temperature typically above 200 °C. Because tin oxide (SnO_2) offers high sensitivity at conveniently low operating temperatures, attention has been concentrated on this material although lately many studies extended also to other oxides.

In fact, several commercial devices based on SnO_2 for detecting low concentration of both flammable, i.e. CH_4 and H_2 , and toxic; i.e. CO, H_2S and NO_x , gases, are available. SnO_2 sensors can be referred to as the best-understood prototype of oxide based gas sensors. Nevertheless, highly specific and sensitive SnO_2 sensors are not yet available. It is well known that sensor selectivity can be fine-tuned over a wide range by varying the SnO_2 crystal structure and morphology, dopants, contact geometries, operation temperature or mode of operation, etc. The electric conductivity of oxide semiconductors is extremely sensitive to the composition of the surface, which reversibly varies as a consequence of surface reactions involving chemisorbed oxygen $(O_2$ -, O^2 -, O^2 -) and the gas mixture components, proceeding at 100-500°C. (Rumyantsevaa et al., 2008; Barsan, et al., 1999).

Moreover, tin oxide is sensitive to both oxidizing gases, such as ozone, O_3 , and NO_2 , and reducing species, such as CO and CH₄ (Becker, 2001). In particular, in the case of oxidizing gases the raising in conductivity upon gas-solid interaction is due to the injection into the conductivity band of electrons produced by the surface reaction between the gas and the chemically active species, O_{ads} of tin oxide, as an example CO+ O_{ads} \rightarrow CO₂+e-; while, in the case of reducing gases, the reactions consume the conduction electrons increasing the tin oxide resistivity, as an example NO_2 + e- $\rightarrow NO$ + O_{ads} .

In conclusions, the advantages offered by wide-band-gap semiconductor oxides as sensing materials include their stability in air, relative inexpensiveness, and easy preparation in the ultradispersed state (Rumyantsevaa et al., 2008). Three main drawbacks characterize such class of sensors materials: the relatively high operative temperature, the poor selectivity due to unspecificity of the contribution made by the gas phase molecules to the total electric response and the long term drift (Sberveglieri, 1995).

3. State of the art on SnO₂ based sensors

The first great production and utilization of tin dioxide based gas sensors started in Japan from a patent (Taguchi, 1962) deposited by Naoyoshi Taguchi in the far 1962. His work was completed in the years 1968-69 when he established mass production and started selling the Taguchi Gas Sensor (TGS) and founded the "Figaro Engineering Inc." currently a world leader company in gas sensors production. The first TGS was a ceramic thick film sensor using tin-dioxide powder as sensitive element. The rapid success and the grown in the production of the TGSs in the years following the first TGS realization is attributed not only to the exhibited performances but also to the large diffusion in that years in Japan of bottled gas and the consequent numerous accidental gas explosions (Ihokura & Watson, 1994), leading to the need of security gas sensors.

After almost fifty years since the first TGS realization, many and many technological advancements in the sensing field strongly widened the classes of available sensors both commercially and in the scientific community. Many of them are still based on tin dioxide as sensitive material.

The first generation of sensors based on tin dioxide as sensitive material was manufactured by ceramic thick film technology. In ceramic thick film sensors, the tin dioxide is most commonly sintered onto a substrate, usually of alumina (Ihokura, 1981). In operation, this substrate is heated by an electrically energized filament and the resistance of the active material, which is very high in fresh air, falls as the concentration of (combustible) contaminant gas rises (Watson, 1984).

Since thick film sensors' performance depend on percolation path of electrons through intergranular regions, by varying small details in the preparation process, each sensor differed slightly in its initial characteristics. Therefore the materials fabrication processes have been improved towards thin film technology, that offers higher reproducibility and long term stability.

In order to enhance the performances and the selectivity of these sensors, several approaches have been pursued.

An approach consists in the careful choice of the working temperature of the sensor that is able to enhance the sensitivity to certain gases by comparison with others (Fort et al., 2002). Since the optimum oxidation temperatures are different from gas to gas, operating the

transducer at two different temperatures leads to the enhancement of the sensor selectivity (Heilig et al., 1999).

A large number of additives in SnO₂, such as In, Cd, Bi₂O₃ and noble metals (i.e. palladium or platinum) either in thick or in thin films based sensors have been investigated to improve the selectivity and to enhance the response of the tin-dioxide gas sensors (Yamazoe, 1983). These dopants are added to improve sensor sensitivity to a particular gas, to minimize cross sensitivity to other gases and to reduce temperature of operation. Palladium inclusions, for example, leads to a lowering of the sensor resistance, a speeding up of transient behavior and modifies the selectivity characteristics of the sensor by changing the rates of the redox reactions (Watsont et al., 1993; Cirera et al., 2001). The doping of SnO₂ with Pt reduces in particular the optimum operating temperature for sensing CO gas. On the other hand, the doping of SnO₂ with trivalent additive favors the detection of oxidant gases. By suitably selecting the dopant the temperature of device operation can be tailored for a specific application (Erann et al., 2004; Ivanov et al., 2004). Other additives such as gold, rhodium, ruthenium and indium have more significant effects on selectivity, as do several metal oxides including those of lanthanum and copper.

A widely employed approach to enhance the sensor selectivity concerns exploiting different measurement techniques and/or data processing algorithms. Of course, these approaches are not limited to tin-oxide based sensors. Nonetheless, interesting results have been achieved also with tin oxide by measuring the transducer conductivity variations during chemical transients obtained with abrupt changes in target molecules concentration. In fact in this case the reaction kinetics can be exploited to differentiate among different compounds (Schweizer-Berberich et al., 2000; Llobet et al., 1997; Ngo et al., 2006).

More generally, the realization of an array of sensors with different features and the employment of pattern recognition techniques demonstrated to be a suitable strategy to discriminate among different target molecules (Gardner et al., 1992; Hong et al., 2000; Lee et al., 2001; Delpha et al., 2004).

The effect of grain size on the sensitivities of SnO₂ films has been also investigated since 1991, when Yamazoe (Yamazoe, 1991) showed that reduction of crystallite size caused a huge improvement in conductometric sensor performance. In fact, in a low grain size metal oxide almost all the carriers are trapped in surface states and only a few thermal activated carriers are available for conduction. In this configuration the transition from activated to strongly not activated carrier density, produced by target gases species, has a great effect on sensor conductance. The challenge thus became to prepare stable materials with small crystallite size. This process has been assisted by the recent progress in nanotechnology, thank to which fine control over the crystallinity, morphology, composition and doping level of these sensing materials could be obtained.

An important step forward has been achieved by the successful preparation of stable single crystal quasi-one-dimensional semiconducting oxides nanostructures (the so-called nanobelts, nanowires or nanoribbons) (Pan et al., 2001; Comini et al., 2002).

This was followed by the publication of some fundamental demonstrations (Cui et al., 2001; Law et al., 2002; Arnold et al., 2003; Li et al., 2003) of detecting a variety of chemicals and bio-agents using semiconducting 1-D oxides. Since then, this area has been experiencing significant growth in the past six years and it is not yet clear whether it will reach saturation soon (Comini, 2008; Chen et al., 2008).

In particular, SnO₂ nanowires and nanobelts have been widely reported in a number of reports as conductometric chemical sensors, both in normal resistor or in Field Effect Transitor (FET) configurations (Maffeis et al., 2002; Panchapakesan et al., 2006; Helwig et al., 2007). The first SnO₂ nanobelt chemical sensor was realized in 2002 and employed for the detection of CO, NO₂, and ethanol (Comini et al., 2002). It relied on simple DC-resistive measurements and was made by dispersing SnO₂ nanobelts atop platinum interdigitated electrodes, prefabricated on an alumina substrate. In 2005, the possibility to integrate tin oxide nanobelts with micro-machined substrate has been proved by Yu et al. (Yu et al., 2005), that reported on a single-SnO₂-nanobelt sensor integrated with microheaters to sense dimethyl methylphosphonate (DMMP), a nerve agent stimulant. Recently, Wan et al. (Wan et al., 2008) proposed a high-performance ethanol sensor based on branched SnO₂/Sb-doped SnO₂ nanowire films.

Chemical sensors based on metal oxide 1-D structure configured in FET devices have also been extensively studied. For example, Law et al. (Law et al., 2002) published a contribution on the room temperature NO_2 sensing properties of a FET sensor based on a single crystalline tin oxide nanowire. They made use of UV light, that has proven to be effective also with thin films (Comini et al., 2001), to improve adsorption and desorption process. Zhang et al. (Zhang et al., 2004) also presented some experiments on SnO_2 single nanowire sensor in a FET structure in pure nitrogen, nitrogen-oxygen and nitrogen-oxygen-CO atmospheres.

Enhanced performances have also been demonstrated in the last years with 1-D SnO₂ nanostructure-based conductometric sensors with Pd (Kolmakov et al., 2005), Ag (Chen & Moskovits, 2007), Ni (Sysoev et al., 2006) and Au (Qian et al., 2006) nanoparticles decorated on the surface of nanowires and nanobelts.

The main disadvantage of conductometric sensors is their need for a high working temperature, which leads to power wastage. Recently, some contribution on new (and yet not well explored) optical detection methods have also been proposed for the realization of tin oxide chemical sensors. They are based on the measurements of optical response of SnO₂-based materials to environmental changes, instead of the electrical ones. In particular, some contributions have been reported on the quenching in the visible photoluminescence (PL) of tin oxide nanostructures due to the introduction of NO₂, NH₃, and CO in dry and humid synthetic air and normal ambient pressure conditions (Faglia et al., 2005; Baratto et al., 2005; Setaro et al., 2008).

Also, in the last few years, SnO₂ was exploited as sensitive wavelength-scale particle layers for the realization of a new concept near-field fiber optic chemical sensors able to work at room temperature, either in air or water environments (Cusano et al., 2006; Buosciolo et al., 2008b). The electrostatic spray pyrolysis was exploited to transfer SnO₂ thin films composed of grains with wavelength and subwavelength dimensions atop the termination of standard optical fibers (Pisco et. al, 2006). This layer morphology demonstrated to be very promising for optical sensing because it is able to significantly modify the optical near-field profile emerging from the film surface. As matter of fact, local enhancements of the evanescent wave contribute occurs leading to a strong sensitivity to surface effects induced as consequence of analyte molecule interactions (Cusano et al., 2007).

4. Tin dioxide opto-chemical nano-sensors

4.1 Principle of operation

For the realization of the proposed near-field opto-chemical sensors, the reflectometric configuration has been exploited (Pisco et al., 2006). It is essentially based on a modified extrinsic Fabry-Perot (FP) interferometer which, as schematically represented in Fig. 1, uses a microstructured tin dioxide sensitive film deposited at the distal end of a properly cut and prepared optical fiber.

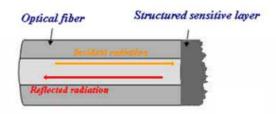


Fig. 1. Schematic view of the reflectometric configuration.

In line of principle, the key point of this configuration is the dependence of the reflectance at the fiber/sensitive layer interface on the optical and geometric properties of the sensitive materials. In particular, the interaction with target analyte molecules promote changes in the chemo-optic features of the active layers surface, basically its dielectric constant. In this case in fact, contrarily to what happen for the standard FP configurations (Pisco et al., 2006), the interaction of the field with the chemicals present within the atmosphere occurs not in the volume of the layer but mainly on its surface by means of the evanescent part of the field, promoting a significant improvement of the fiber optic sensor performance. The chemo-optic variations induced by the surface-chemicals interaction lead to changes in the film reflectance and thus in the intensity of the optical signal reflected at the fiber/film interface. As we will see in the section 6.1, this optical intensity modulation is simply detectable by means of single-wavelength reflectance measurements.

4.2 Integration of sensing layers with standard optical fibers

Many sensitive materials and transducing techniques are today available to develop optochemical sensors, but it's necessary to find the suitable deposition technique, depending on the nature of the material and the transducing substrate, in order to control the morphological and geometrical features of the sensitive layer. This governance is, in fact, essential to fully benefit of the materials properties and to be able to mathematically schematize the sensor for a reasonable design of its performances. Hence, the challenge in this field is not just relating to the chemical tailoring of the material properties, but also the integration of the material with the sensing platform. At the same time simple and low cost fabrication procedure and equipment are mandatory for a fast and cost-effective evolution of the devices from laboratories to market.

In the following, a brief introduction to the Electrostatic Spray Pyrolysis (ESP) technique and a description of its optimization and customization for the deposition of the selected sensitive material onto the fiber substrates are presented.

Moreover, the possibility to obtain thin films at nano and micro scale and to tailor the sensitive layers features by properly changing the ESP deposition parameters will also be reported.

4.3 Electrostatic Spray Pyrolysis (ESP) deposition technique

The spray pyrolysis technique has been, during the last three decades, one of the major techniques to deposit a wide variety of materials in thin film form (Perednis & Gauckler, 2005). Unlike many other film deposition techniques, spray pyrolysis represents a very simple and relatively cost effective processing method (especially with regard to equipment costs). It offers an extremely easy technique for preparing films of any composition and it does not require high quality substrates or chemicals. The method has been employed for the deposition of dense films, porous films, and for powder production. Even multilayered films can be easily prepared using this versatile technique.

Thin metal oxide and chalcogenide film deposited by spray pyrolysis and different atomization techniques were reviewed for example by Patil (Patil, 1999).

ESP is a spray deposition technique in which the precursor solutions are electrosprayed toward substrates from the end of a highly biased metal capillary (typically 5–25 kV).

In fact, this methodology is based on the phenomenon of electrolyte (usually ethanol or water solutions of metal chlorides) polarization on charged droplets by an electrostatic field, applied between a vessel provided with a metal capillary and a heated substrate. The polarized droplets separate one from each other by means of repulsive forces and they are carried by electrostatic field along its force lines (Higashiyama et al., 1999). The moving droplets form a cone in the space, called Tailor's cone. The substrate coverage by droplets is quasi uniform in terms of amount of drops per square unit. When droplets of solution reach the heated substrate (the substrate temperature is usually in the range 300-450°C), chemical reaction of metal chloride with solution water vapor, stimulated by the temperature, takes place with formation of the oxide film (Matsui et al., 2003):

$$MCl_x + x/2 H_2O \rightarrow MO_{x/2} + xHCl$$
 (1)

Thereby, metal oxide layer grows due to the thermal transformation of metal chloride to metal oxide as a consequence of the interaction with water vapor.

It's evident from this brief description that ESP involves many processes occurring either simultaneously or sequentially. The most important of these are aerosol generation and transport, solvent evaporation, droplet impact with consecutive spreading, and precursor decomposition. The deposition temperature is involved in all mentioned processes, except in the aerosol generation. Consequently, the substrate surface temperature is the main parameter that determines the electrical properties of the layers, like resistivity and charge carrier mobility, and structural properties like crystalline size and surface morphology.

For instance, for SnO_2 samples deposited at higher temperatures, low resistivity and higher roughness were observed, whereas for films deposited at temperatures less than 340°C high resistivity, lower crystalline size and less ratio of polycrystalline phase were found (Patil et al., 2003). A more recent work of Ghimbeu et al. (Ghimbeu et al., 2007), report on the influences of deposition temperature on the surface morphology of SnO_2 and Cu-doped SnO_2 thin films. Dense films with a smooth surface characterized by several cracks were deposited at low temperature such as $150^{\circ}C$; denser films comprised of large particle of about 1 μ m, which are agglomerates of small particles, were obtained at $250^{\circ}C$; while films

prepared at 350 and 400°C showed a porous structure and a surface roughness that increase with increasing temperature.

The precursor solution is the second important process variable. Solvent, type of salt, concentration of salt, additives and sprayed volume influence the physical and chemical properties of the precursor solution. Therefore, structure and properties of a deposited film can be tailored also by changing the precursor solution.

For example, porous SnO_2 and SnO_2 - Mn_2O_3 films were prepared using the ESP deposition technique and employed in Taguchi type hydrogen sensors (Gourari et al., 1998; Gourari et al., 1999). The grain size of the porous films ranged from 1 to 10 μ m. It was observed that the grain size increases with a higher concentration of the precursor in the ethanol solvent.

Thin SnO₂ films for gas sensors were also prepared by spray pyrolysis using an inorganic as well as an organic precursor solution (Pink et al., 1980). Smooth but not very uniform films were obtained using a solution of (NH₄)₂SnCl₆ in water. On the other hand, very uniform but relatively rough films were deposited using a solution of (CH₃COO)₂SnCl₂ in ethylacetate. Suitable electric properties were measured for films obtained from the organic solution. The sensitivity and rise time were found to depend on the deposition temperature and the type of precursor solution used. The best results were achieved by spraying an organic precursor solution onto a substrate at about 300°C.

The first attempts to prepare SnO_2 layers using the ESP were carried out by Gourari et al. (Gourari et al., 1998) and Zaouk et al. (Zaouk et al., 2000). Although conductive substrates were conventionally used in ESP, Zaouk et al. (Zaouk et al., 2000) revealed the availability of ESP for the insulator substrate. They investigated the electrical and optical properties of the fluorine doped SnO_2 layers sprayed on Corning 7059 substrates.

4.4 Customization and optimization of ESP deposition technique

The ESP technique was used for the first time for the deposition of a tin dioxide layer upon the distal end of standard silica optical fibers (SOFs) by the authors in the 2005 (Pisco et., 2005). To this purpose, an optimization and customization of the standard ESP method was used. For the SnO_2 particle layers deposition, single mode optical fibers were prepared by stripping the protective coating a few centimeters from the fiber-end. The bare fiber were washed in chloroform in order to remove any coating residuals. Then the fiber-end were properly cut, by using a precision cleaver, in order to obtain a planar cross-section, where the SnO_2 films were deposited. A schematic view of the experimental set-up used for the sensors fabrication is shown in Fig. 2.

It consists of a high voltage source (FUG, 0-30kV), two syringes connected with a flexible pipe for the solution handling, a needle with an external diameter of 0.5 mm, connected with a high voltage source (17 ± 0.1 kV) in order to create a high electric field between the needle itself and a grounded metal substrate where the fiber-end is located. The necessary temperature has been reached by means of a resistive heater, in contact with the substrate, constituted by two stainless steel plates of a few square centimeters and by a nichrome wire connected with a 300W voltage source. The heater was supplied with a chromium-nickel thermocouple connected with a multimeter for the temperature monitoring. The distance between the needle and the optical fiber-end was about 30 mm.

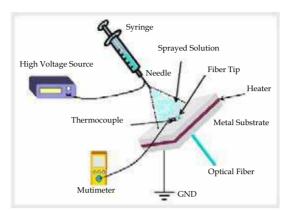


Fig. 2. Schematic view of the experimental set-up used for the deposition of the sensitive layer onto the optical fibers.

The deposition was performed at a constant temperature of 320±5 °C. Liquid flow has been regulated by means of an air pump connected with the first syringe. Tin dioxide films are grown according to the following reaction:

$$SnCl_4 + 2H_2O \rightarrow SnO_2 + 4HCl$$
 (2)

The SnO_2 layers fabrication was performed by means of a constant volume, 5 ml, of an ethanol solution of $SnCl_4\cdot 5H_2O$ at two different concentrations: 0.01 and 0.001 mol/l. During the deposition, it is also possible the formation of amorphous SnO phase. Thermal treatment is one of the ways to transform SnO_x to SnO_2 and clean the films surface from the other dopants like water or alcohol present in the initial solution (Ramamoorthy et al., 2003). For this reason, after the deposition procedure, the prepared samples were annealed at $500\pm 5^{\circ}C$ for 1 hour. The temperature was increased from room temperature to $500^{\circ}C$ with a constant rate of $5^{\circ}C$ /min and, after the annealing procedure, the temperature was decreased with the same rate down to the room temperature.

5. Characterization of the surface morphology and of the transmitted optical field in near proximity of the overlays

As described in the previous section 4.1, the principle of operation of the proposed sensors relies on the dependence of the reflected power at the fiber end on the optical and geometric properties of the layer itself. The interaction of the analyte molecules with the sensitive overlay leads to changes in its complex dielectric function and, in turn, in the amount of reflected power. So it's clear that the heart of a chemical sensor is the sensitive layer and for this reason a strong effort was devoted to investigate the properties of the deposited SnO_2 films in terms of the surface morphology and the optical behaviors by means of scanning probe microscopy.

In the present section, we first introduce something about the above mentioned characterization technique and the employed experimental apparatus; then we report on the influence of surface features on the transmitted optical field in near proximity of the overlays; finally we describe how, by acting on the deposition parameters and on thermal annealing, it is possible to obtain layers able to manipulate light at sub wavelength level. In particular, we will show that: the near-field collected in presence of SnO₂ layers with a smooth topography and a surface roughness of the order of tens of nanometers, has the typical Gaussian shape of the fundamental mode propagating through the single-mode optical fiber; in presence of layers characterized by several SnO₂ grains, with mean spatial dimensions greater than about 500 nm, the near-field profile results to be significantly modified in correspondence of them; finally, layers characterized by the presence of isolated microstructures, with dimensions comparable to radiation wavelength, reveal high capability of near-field enhancement combined with a strong increasing of the evanescent wave content.

5.1 Scanning probe microscopy

Atomic force microscopy (AFM) and scanning near-field optical microscopy (SNOM) analyses were performed on the deposited SnO₂ films, before employing them in sensing applications; as we will see in the following, neither any damage was produced nor any treatment was necessary in order to perform this kind of analysis.

The invention of scanning tunneling microscopy in 1981 began a revolution in microscopy, which has led to a whole new family of microscopies (Meyer et al., 2003), known collectively as scanning probe microscopy (SPM), among them AFM and SNOM. SPMs do not use lenses to produce the magnified image; instead, a local probe is scanned over the surface of the specimen and measures some physical property associated with the surface. This local probe is fabricated from a material appropriate for the measurement of the particular surface property. The scanning process is simply mechanical, but with extremely high precision and without producing any damage of the specimen. Moreover, SPM is capable of imaging all kind of specimen (including soft materials and biomolecular systems) at submolecular resolution, without the need for staining or coating, in a range of environments including gas and liquid, so offering major advantages over other forms of microscopy.

In Fig. 3 it is reported the AFM-SNOM system employed for the surface morphology and optical properties characterization; in fact, it is capable of simultaneous SNOM and normal force AFM imaging using the same probe (Buosciolo et al., 2006).

The super-resolution of SNOM is achieved via a sub-wavelength aperture placed in the near-field of the sample: a tapered optical fiber coated with 150 nm of a metal. Measurements were carried out in collection mode using a Cr/Al-coated fiber with 200 nm aperture diameter and illuminating the fiber under investigation with a superluminescent diode (central wavelength λ_1 =1310 nm, λ_2 =1550 nm). The tip was maintained in the near-field of the sample surface using optically detected normal force feedback. This was accomplished by oscillating the tip and detecting the scattered light from a laser focused onto the end of the tip. As the tip approaches the surface, the signal decreases and a feedback circuit can be used to maintain a constant tip-sample distance while scanning the sample under the tip. During the imaging scan, the probe collects the light coming out of the sample exactly at the end face. In this way, the optical intensity distribution from the fiber end face is mapped into a SNOM image and an independent AFM normal force image is recorded simultaneously by the feedback signal that produces a three-dimensional image of the SnO₂ film surface.

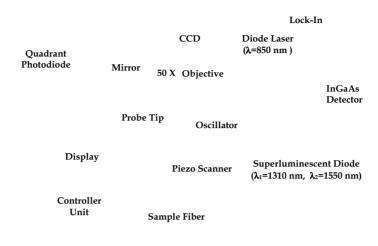


Fig. 3. Scanning probe system: simultaneous atomic force (AFM) and scanning near-field optical microscopy (SNOM).

The resolution of SNOM images is limited by the aperture size of the probe (200 nm); as regards the AFM characterization, in the x and y directions, the resolution is limited by the effective dimension of the terminal part of the probe (aperture diameter plus the metallization layer), while in the z direction is only limited by external vibrations.

All images were obtained in air using tapping mode operation and in a region approximately centered onto the fiber core. Moreover, image acquisition times were between 30 and 40 min for images with pixel resolution of 256x256.

Images were processed by WSxM free software downloadable at http:www.nanotec.es. In particular, topographic images were flattened, off-line, using zero-or-first order polynomial fits to account for z offsets and sample tilt.

5.2 Influence of surface layer morphology on the near-field intensity distribution

AFM and SNOM measurements allow to obtain quantitative information on the surface structures of the sensitive coatings and the knowledge of the relationship between the layer morphology and the optical near-field collected in the close proximity of the fabricated probes (Consales et al. 2006).

As an example, in Fig.4 (a) is reported the typical bi-dimensional (2D) image of a SnO₂ layer (sample A) deposited upon the distal end of the optical fiber, by ESP technique using a solution volume of 5 ml of ethanol solution of SnCl₄·5H₂O with a concentration of 0.01 mol/l. The image refers to a (12x12) μ m² area, approximately centered on the optical fiber core, indicated with the green circle.

The most important measurement of surface roughness can be given with a statistical parameter: the root mean square (RMS) roughness that is the standard deviation of the height values within a given area. Figure 4 (a) reveals that the deposited layer is very smooth with a RMS roughness of about 27.98 nm. In addition, Fig. 4 (b) shows that the

shape of the electromagnetic field collected in the close proximity of the film surface is not influenced by the presence of such SnO_2 layer, as demonstrated by the fact that it assumes the typical Gaussian profile of the field emerging from the cleaved end of a single mode optical fiber.

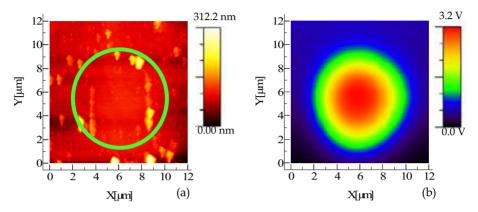


Fig. 4. Topographic image of the sample A (a) and optical near-field simultaneously collected by the SNOM probe in the same region $(12x12) \mu m^2$ (b).

Different morphologies of the SnO_2 particles layers can be obtained by changing the parameters of the ESP deposition process, such as the concentration and the volume of the ethanol solution of $SnCl_4$ - $5H_2O$, the alignment of the optical fiber end under the needle from which the precursor solution is sprayed or the substrate temperature during the deposition. As matter of the fact, Fig. 5 (a) shows the 2D image of another tin dioxide particles layer, sample B, fabricated by means of the same deposition parameters (5 ml of ethanol solution of $SnCl_4$ - $5H_2O$ with a concentration of 0.01 mol/l) except for the fact that it was differently aligned under the syringe needle.

It can be observed that a very different morphology and, as a consequence, optical near-field profile, have been obtained. In this case, in fact, the sensitive layer exhibits an highly rough surface characterized by the presence of a number of tin dioxide grains which cause an increase of the RMS roughness up to 136.65 nm. By an analysis of the heights and sizes distributions of the grains, a mean height of approximately 400 nm and mean lateral (x, y) dimension of approximately 465 nm were estimated.

Fig. 5 (b) reveals that, in this case, the optical profile of the emergent near-field is significantly influenced by such overlay morphology. As matter of fact, the Gaussian shape of the near-field collected in the close proximity of the layer surface, appears modified in correspondence of the SnO_2 grains with dimensions comparable with the light wavelength. In fact, relatively to the core region only, the biggest grains able to produce a perturbation of the field have a mean height of about 700 nm and a mean width of about 550 nm.

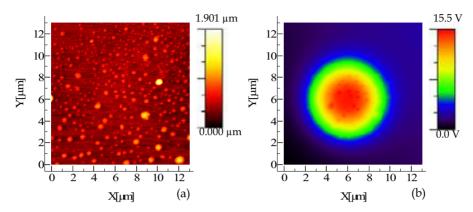


Fig. 5. Topographic image of the sample B (a) and optical near-field simultaneously collected by the SNOM probe in the same region $(13x13) \mu m^2$ (b).

It was demonstrated that this effect can be attributed to the high refractive index of the SnO_2 grains (approximately 1.967 for λ =1550 nm) which try to guide the light but, the lateral dimensions and the grains spacing (mean grains spacing is about 1 μ m) are too small to allow a correct light localization due to the significant overlap of the evanescent field. This interpretation was confirmed by additional experiments focused on the investigation of the particle layer effects in the case of larger and isolated grains (Cusano et al., 2007).

In fact, the near filed enhancement effect was observed for the first time by the authors in 2007 (Cusano et al., 2007) in the case of SnO₂ grains whose spatial dimensions approach the radiation wavelength.

Here, we report the case of the sample C obtained in the same deposition condition of sample A, but using a different concentration of ethanol solution of $SnCl_4\cdot 5H_2O$ equal to 0.001 mol/l. As it is possible to note from the 2D representation of sample C topography reported in Fig. 6 (a), the isolated microstructure has approximately the shape of an half ellipsoid, with dimensions $x \approx y \approx 1.4~\mu m$ and $z \approx 1.0~\mu m$, on a flat SnO_2 substrate. It is evident from Fig. 6 (b) that the optical near-field is strongly enhanced in correspondence of the such grain. The local intensity enhancement, calculated as the ratio between the maximum measured intensity and the corresponding intensity of the unperturbed field is about 1.8. (Cusano et al., 2007).

In order to demonstrate that the field enhancement is observable only in the near-field range, the emergent field at a constant sample-probe distance of approximately 2 μ m, was also recorded, as reported in Fig. 7 (a).

For a sample-tip distance comparable to the optical wavelength, the field profile is not able to completely maintain information about the film morphology, even if a significant distortion of the beam shape is still clearly observable in Fig. 7 (a). By increasing the sample-tip distance, up to few times the wavelength the collected optical field profile assumes the Gaussian shape, as expected in far field imaging.

Moreover, it was possible to construct a map of the radiation intensity coupled into the standard optical fiber coated with the SnO₂ overlay simply by coupling the cantilevered

optical probe to the superluminescent diode and the fiber sample to the InGaAs detector (named reverse configuration, compared to the forward one reported in Fig. 3).

It was found that the profile of the radiation intensity coupled to the sample fiber using the reverse configuration, reported in Fig. 7 (b), is very similar to that one transmitted through the optical fiber coating and collected in the forward configuration (Fig. 6 (b)). In this case the local intensity enhancement is about 1.5 calculated using the same procedure reported above in the text.

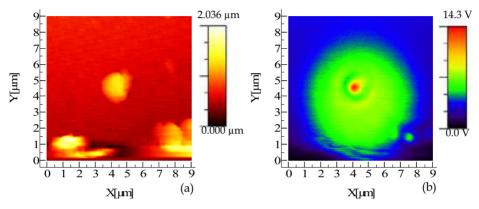


Fig. 6. Topographic image of the sample C (a) and optical near-field simultaneously collected by the SNOM probe in the same region (9x9) μ m² (b).

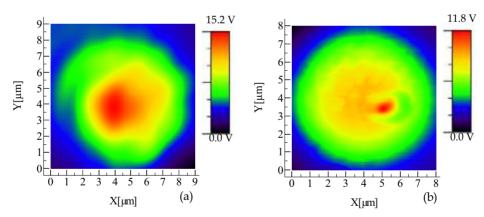


Fig. 7. Emergent field collected from the sample C at constant sample-tip distance of about 2 μm (a) and radiation intensity coupled into the standard optical fiber when it was illuminated by the SNOM probe (b).

In light of these experimental results, the authors were able to give an effective explanation of the observed phenomenon: the radiation impinging at the base of the grain, coming from the layer of the same material, continues to propagate inside of it (confined by the high

refractive index contrast between the oxide and the air and by the geometry of the grain), and near the grain surface a significant part of the propagative field becomes evanescent. Moreover, since the structure dimensions are comparable to the radiation wavelength (as revealed from AFM measurements) it is possible to state that the local field enhancement is not due to truly evanescent field. In fact, the reverse profile is very similar to that one obtained in forward configuration indicating a strong reciprocity not compatible with a structure able to convert at its ends (due to diffraction limit) all the propagating contribute in the evanescent counterpart.

In other words, the particular microstructures found on the core of the fibers showed a high capability of locally enhance the optical near-field. The observed phenomenon lead to foresee the possibility to develop a new concept of SnO₂-transducer based on a surface localized interaction of the optical near-field with chemicals, named by the authors near-field opto-chemical sensors.

In this framework, we demonstrated the capability of the developed near-field optochemical sensors to detect very low concentrations of toluene and xylene in air environment and also of ammonia molecules in water, at room temperature.

In particular, a comparison between the sensing performance of SnO₂-based sensors characterized by almost flat (unable to influence the near-field) and peculiar rough surfaces (able to perturb the near-field) will be reported to demonstrate that sensitive layers able to strongly enhance the optical near-field have the best sensing characteristics, either in terms of sensitivity and responses dynamics (Cusano et al., 2006; Consales et al., 2007a; Buosciolo et al., 2008b).

5.3 Effect of the processing parameters

As mentioned in the section 4.3, it was shown in literature that the concentration of the sprayed solution plays an important role in the film surface morphology. Since the overlay topography determines the near-field properties, the effect of such process variable and the influence of post processing thermal treatment on the overlay morphology were investigated by the authors; the obtained results were collected in some recent reports (Consales et al., 2006b; Buosciolo et al., 2008a; Buosciolo et al., 2008b).

To this aim, two groups of samples were fabricated by using different solution concentration: 0.001 mol/l and 0.01 mol/l.

Here, for the sake of simplicity, the description relative to only two samples belonging to the mentioned groups is reported. The full description of the two groups of samples can be found in the cited article (Buosciolo et al., 2008b).

In Fig. 8 (a) and (c) the typical 2D height images of two SnO_2 layers (sample D, sample E) prepared by using a solution volume of 5 ml of ethanol solution of $SnCl_4\cdot 5H_2O$ with a concentration of 0.001 mol/l, are reported.

Figures 8 (b) and (d) demonstrate that the most pronounced modification of the typical Gaussian profile, emerging from standard single mode optical fibers, occurs in correspondence of the sample D. In fact, the structures dimensions approach the optical wavelength (1550 nm) and the structures spacing is large enough to make possible an effective light localization in the high refractive index SnO_2 grains (Cusano et al., 2007).

In Fig. 9 (a) and (c) the typical 2D height images of two SnO_2 layers (sample F, sample G) prepared by using a solution volume of 5 ml of ethanol solution of $SnCl_4\cdot 5H_2O$ with a concentration of 0.01 mol/l, are reported.

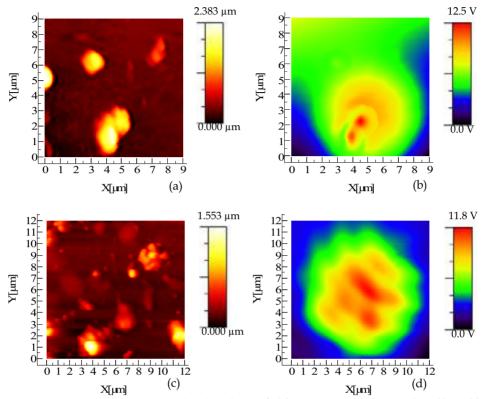


Fig. 8. AFM topographic images (a), (c) and near-field intensity simultaneously collected by the NSOM probe (b), (d) on the sample D and E respectively, prepared using a solution concentration of 0.001 mol/l, before annealing process.

Sample F topography (see Fig. 9 (a)) is characterized by the presence of several grains, but with no regular shape. The major part of them have lateral dimensions smaller than 500 nm and a mean height of the order of 150 nm, while few others have mean lateral dimensions of the order of 1 μ m and a mean height of 300 nm. There is only one microstructure whose characteristic dimensions are a \approx 1430 nm, b \approx 1900 nm and h \approx 450 nm.

Sample G topography (see Fig. 9 (c)) presents several structures of rectangular shape whose lateral dimensions a and b vary in the following range: $a \in (2.3 \div 3.4) \ \mu m$, $b \in (3.4 \div 4.8) \ \mu m$, while the average height is about $4.0 \ \mu m$.

Figures 9 (b) and (d) demonstrate that the most pronounced modification of the near-field profile occurs in correspondence of the sample G.

The conclusion is that increasing the metal chloride concentration it is possible to obtain a more structured surface morphology able to significantly influence the optical near-field.

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